## Adaptive Minimum-BER Linear Multiuser Detection

S. Chen<sup>†</sup>, A.K. Samingan<sup>†</sup>, B. Mulgrew<sup>‡</sup> and L. Hanzo<sup>†</sup>

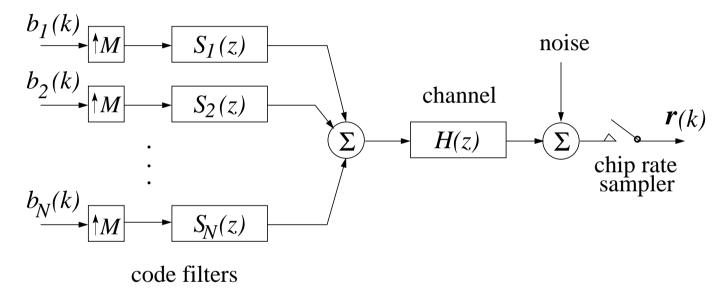
† Department of Electronics and Computer Science University of Southampton, Southampton SO17 1BJ, U.K.

<sup>‡</sup> Department of Electronics and Electrical Engineering University of Edinburgh, Edinburgh EH9 3JL, U.K.

Presented at ICASSP2001, May 7-11, 2001, Salt Lake City, USA

### System Model

Downlink synchronous, N-user and M-chip per bit



$$\mathbf{r}(k) = \mathbf{P} \begin{bmatrix} \mathbf{b}(k) \\ \mathbf{b}(k-1) \\ \vdots \\ \mathbf{b}(k-L+1) \end{bmatrix} + \mathbf{n}(k) = \bar{\mathbf{r}}(k) + \mathbf{n}(k)$$



where the user bit vector  $\mathbf{b}(k) = [b_1(k) \cdots b_N(k)]^T$ , L is the ISI span, the Gaussian noise vector  $\mathbf{n}(k) = [n_1(k) \cdots n_M(k)]^T$  with zero mean vector and

$$E[\mathbf{n}(k)\mathbf{n}^T(k)] = \sigma_n^2 \mathbf{I},$$

the  $M \times LN$  system matrix

$$\mathbf{P} = \mathbf{H} egin{bmatrix} \mathbf{S}\mathbf{A} & \mathbf{0} & \cdots & \mathbf{0} \ \mathbf{0} & \mathbf{ar{S}}\mathbf{A} & \cdots & dots \ dots & \ddots & \ddots & \mathbf{0} \ \mathbf{0} & \cdots & \mathbf{0} & \mathbf{ar{S}}\mathbf{A} \end{bmatrix} \,,$$

the user unit-length signature sequence matrix  $\bar{\mathbf{S}} = [\bar{\mathbf{s}}_1 \cdots \bar{\mathbf{s}}_N]$ , the diagonal user signal amplitude matrix  $\mathbf{A} = \mathrm{diag}\{A_1 \cdots A_N\}$ , and the  $M \times LM$  CIR matrix  $\mathbf{H}$ 

$$\mathbf{H} = \begin{bmatrix} h_0 & h_1 & \cdots & h_{n_h-1} \\ & h_0 & h_1 & \cdots & h_{n_h-1} \\ & & \ddots & \ddots & \cdots & \ddots \\ & & h_0 & h_1 & \cdots & h_{n_h-1} \end{bmatrix}$$

#### **Linear Detector**

Linear detector for user i

$$\hat{b}_i(k) = \operatorname{sgn}(y(k)) \text{ with } y(k) = \mathbf{w}^T \mathbf{r}(k)$$

where  $\mathbf{w} = [w_1 \cdots w_M]^T$  is the detector weight vector.

- MMSE solution most widely used, with LMS adaptive implementation.
- There are  $N_b=2^{LN}$  combinations of  $[\mathbf{b}^T(k)\ \mathbf{b}^T(k-1)\ \cdots\ \mathbf{b}^T(k-L+1)]^T$ :

$$\mathbf{b}^{(j)} = \begin{bmatrix} \mathbf{b}^{(j)}(k) \\ \mathbf{b}^{(j)}(k-1) \\ \vdots \\ \mathbf{b}^{(j)}(k-L+1) \end{bmatrix}, \ 1 \le j \le N_b$$

with  $b_i^{(j)}$  the *i*th element of  $\mathbf{b}^{(j)}(k)$ .

 $\bullet$   $\bar{\mathbf{r}}(k)$  only takes value from the noise-free signal state set:

$$\mathbf{r}_j = \mathbf{P}\mathbf{b}^{(j)}, \ 1 \le j \le N_b$$

• The detector y(k) = y'(k) + n'(k), with y'(k) only takes value from the set:

$$y_j = \mathbf{w}^T \mathbf{r}_j, \ 1 \le j \le N_b$$

n'(k) is Gaussian with zero mean and variance  $\sigma_n^2 \mathbf{w}^T \mathbf{w}$ .

# **Motivations for Adaptive MBER**

• MMSE can be inferior to MBER

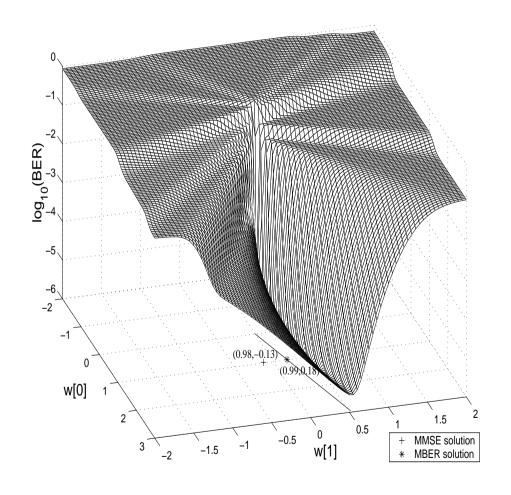
Two equal power users with chip codes (+1,+1) and (+1,-1)

Transfer function of CIR  $H(z) = 1 + 0.8z^{-1} + 0.6z^{-2} \label{eq:Hz}$ 

 $\mathsf{SNR}_1 = 25 \; \mathsf{dB}$  BER surface for user 1

MMSE solution:  $\log_{10}(BER) = -3.88$ 

MBER solutions:  $\log_{10}(BER) = -5.56$ 





- LMS-style stochastic gradient adaptation
- \* Two existing stochastic gradient adaptive MBER algorithms
  - 1. Difference approximation MBER, DMBER, (Trans COM 47 (7), pp.1092–1102, 1999)
    - Difference approximation for gradient of one-bit error measure, no need for noise pdf assumption, complexity  ${\cal O}(M^2)$ , very low convergence rate for small BER
  - 2. Approximate or Adaptive MBER, AMBER, (Globecom'98, pp.3590-3595)
    - Like signed-error LMS but modified to continue updating weights in vicinity of decision boundary, very simple with a complexity  ${\cal O}(M)$
- $\star$  Our approach, LBER, based on kernel density estimation of BER from training data Also a complexity O(M), simpler than DMBER but more complex than AMBER

#### **Theoretical MBER Solution**

Define the signed decision variable

$$y_s(k) = \operatorname{sgn}(b_i(k))y(k) = \operatorname{sgn}(b_i(k)) \left(y'(k) + n'(k)\right)$$

with p.d.f.:

$$p_y(y_s) = \frac{1}{N_b \sqrt{2\pi}\sigma_n \sqrt{\mathbf{w}^T \mathbf{w}}} \sum_{j=1}^{N_b} \exp\left(-\frac{(y_s - \operatorname{sgn}(b_i^{(j)})y_j)^2}{2\sigma_n^2 \mathbf{w}^T \mathbf{w}}\right)$$

Thus error probability of linear detector:

University

$$P_E(\mathbf{w}) = \text{Prob}\{\text{sgn}(b_i(k))y(k) < 0\} = \int_{-\infty}^{0} p_y(y_s) \, dy_s = \frac{1}{N_b} \sum_{j=1}^{N_b} Q\left(c_j(\mathbf{w})\right)$$

where

$$Q(y) = \frac{1}{\sqrt{2\pi}} \int_{y}^{\infty} \exp\left(-\frac{x^2}{2}\right) dx \quad \text{and} \quad c_j(\mathbf{w}) = \frac{\operatorname{sgn}(b_i^{(j)}) y_j}{\sigma_n \sqrt{\mathbf{w}^T \mathbf{w}}} = \frac{\operatorname{sgn}(b_i^{(j)}) \mathbf{w}^T \mathbf{r}_j}{\sigma_n \sqrt{\mathbf{w}^T \mathbf{w}}}$$

Gradient

$$\nabla P_E(\mathbf{w}) = \frac{1}{N_b \sqrt{2\pi}\sigma_n} \left( \frac{\mathbf{w}\mathbf{w}^T - \mathbf{w}^T \mathbf{w} \mathbf{I}}{(\mathbf{w}^T \mathbf{w})^{\frac{3}{2}}} \right) \sum_{j=1}^{N_b} \exp\left( -\frac{y_j^2}{2\sigma_n^2 \mathbf{w}^T \mathbf{w}} \right) \operatorname{sgn}(b_i^{(j)}) \mathbf{r}_j$$

By normalizing w to unit length,

$$\nabla P_E(\mathbf{w}) = \frac{1}{N_b \sqrt{2\pi}\sigma_n} \sum_{j=1}^{N_b} \exp\left(-\frac{y_j^2}{2\sigma_n^2}\right) \operatorname{sgn}(b_i^{(j)}) (\mathbf{w}y_j - \mathbf{r}_j)$$

ullet Steepest-descent or conjugate gradient algorithm  $\Rightarrow$  MBER solution ullet

### **Block-data Based Adaptation**

Estimate  $p_s(y_s)$  based on training data  $\{\mathbf{r}(k), b_i(k)\}_{k=1}^K$  (kernel density estimation):

$$\hat{p}_y(y_s) = \frac{1}{K\sqrt{2\pi}\rho_n \sqrt{\mathbf{w}^T \mathbf{w}}} \sum_{k=1}^K \exp\left(-\frac{(y_s - \operatorname{sgn}(b_i(k))y(k))^2}{2\rho_n^2 \mathbf{w}^T \mathbf{w}}\right)$$

where the radius parameter  $\rho_n$  is related to the noise standard deviation  $\sigma_n$ 

• 
$$\hat{p}_y(y_s) \Rightarrow \hat{P}_E(\mathbf{w}) \Rightarrow \nabla \hat{P}_E(\mathbf{w})$$
 •

 $\star$  Gradient algorithm  $\Rightarrow$  estimated MBER solution  $\star$ 

**Remark**: This is analogous to estimated MMSE solution – sample estimates of autocorrelation matrix and cross-correlation vector replacing corresponding ensemble averages

## **Stochastic Gradient Adaptation**

One-sample estimate of p.d.f. and instantaneous stochastic gradient  $\Rightarrow$  LBER

Re-scaling weight vector (to unit length)

$$\mathbf{w}(k) := rac{\mathbf{w}(k)}{\sqrt{\mathbf{w}^T(k)\mathbf{w}(k)}}$$

• Detector output

$$y(k) = \mathbf{w}^T(k)\mathbf{r}(k)$$

Weight update

$$\mathbf{w}(k+1) = \mathbf{w}(k) + \frac{\mu}{\sqrt{2\pi}\rho_n} \exp\left(-\frac{y^2(k)}{2\rho_n^2}\right) \operatorname{sgn}(b_i(k)) (\mathbf{r}(k) - \mathbf{w}(k)y(k))$$

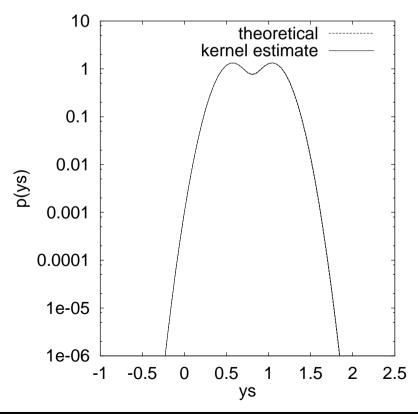
Step size  $\mu$  and width  $\rho_n$  are two algorithm parameters

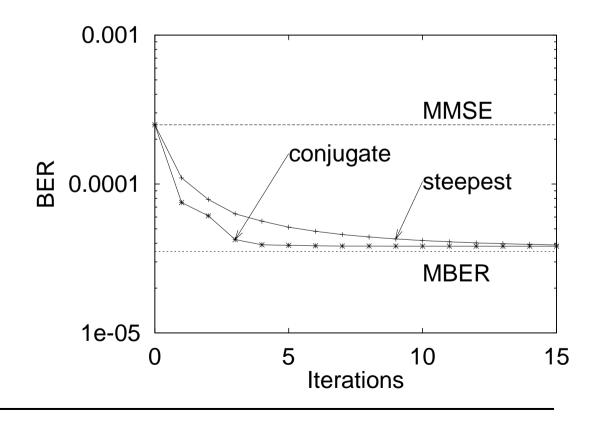
Communication Group

### **Simulation**

**Example 1** Two equal-power users with (+1,+1,-1,-1) and (+1,-1,-1,+1), respectively, and the CIR transfer function  $H(z)=1.0+0.25z^{-1}+0.5z^{-3}$ 

Data block: 100 samples,  $SNR_1 = SNR_2 = 16.5$  dB, block adaptation for user 1:



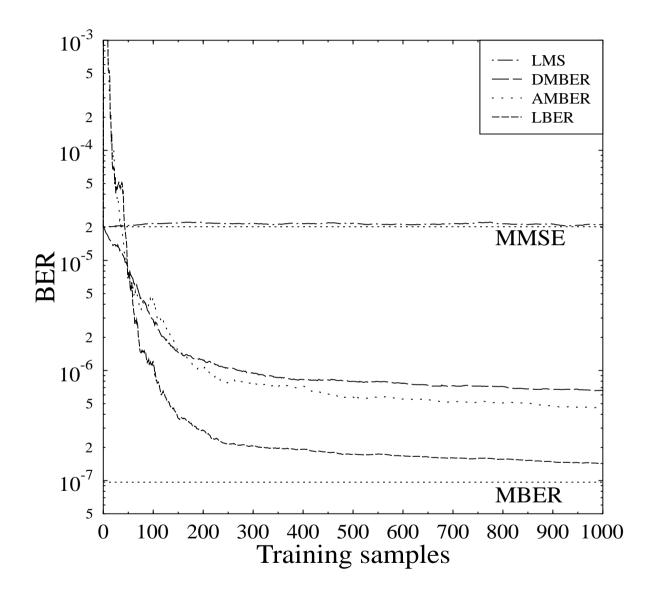




 $\mathsf{SNR}_1 = \mathsf{SNR}_2 = 19 \; \mathsf{dB}$ 

Stochastic gradient adaptation for **user 1**:

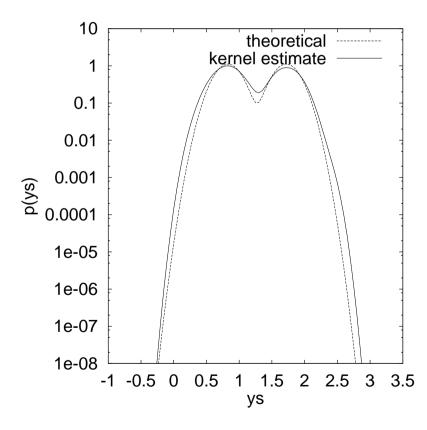
Average over 100 runs

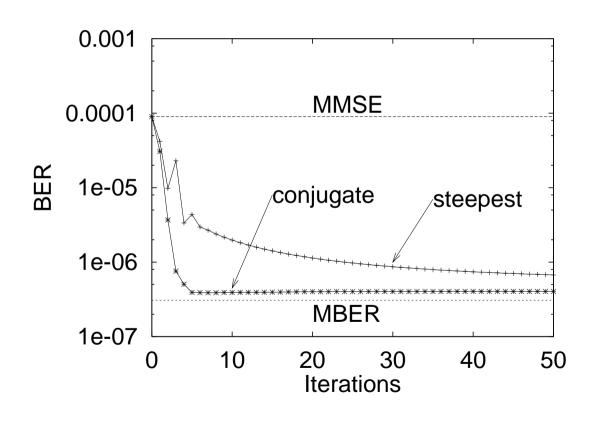




**Example 2** Four equal-power users with (+1,+1,+1,+1,-1,-1,-1,-1), (+1,-1,+1,-1,-1,+1,-1,+1), (+1,+1,-1,-1,-1,-1,+1,+1) and (+1,-1,-1,+1,-1,+1,+1,-1); the CIR transfer function  $H(z)=0.4+0.7z^{-1}+0.4z^{-2}$ 

Data block: 1500 samples,  $SNR_i = 16$  dB for all i, block adaptation for user 1:



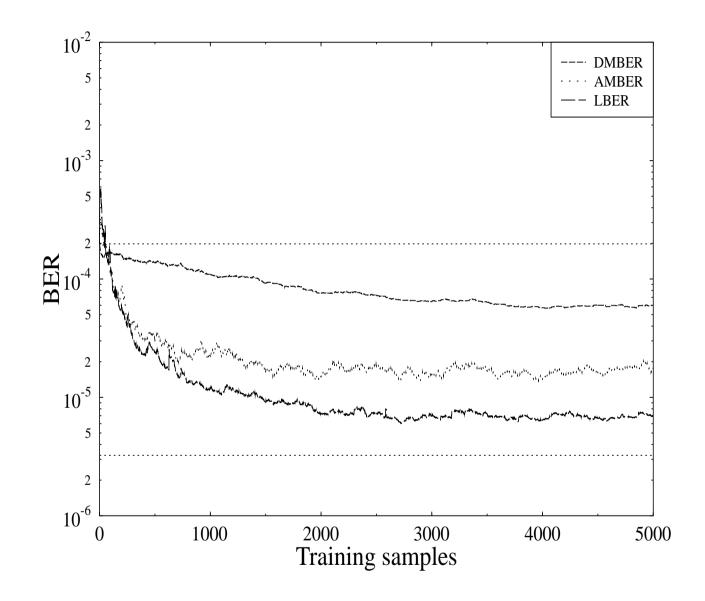




 $\mathsf{SNR}_i = 15~\mathsf{dB}$  for all i

Stochastic gradient adaptation for **user 1**:

Average over 50 runs





#### **Conclusions**

MBER solution for linear multiuser detector can be superior over MMSE one

- LMS-style stochastic gradient adaptive MBER algorithms are available
- Our approach: Least Bit Error Rate, LBER
  - \* Kernel density estimate for p.d.f. of detector decision variable is natural and generic<sup>1</sup>
  - \* Complexity is linear with detector length
  - \* Appear to have better performance in terms of convergence speed and steadystate BER misadjustment

<sup>&</sup>lt;sup>1</sup>We have extended the LBER to training nonlinear neural network multiuser detectors