# Distributed Robust Artificial-Noise-Aided Secure Precoding for Wiretap MIMO Interference Channels

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Abstract-We propose a distributed artificial noise-assisted precoding scheme for secure communications over wiretap multiinput multi-output (MIMO) interference channels, where K legitimate transmitter-receiver pairs communicate in the presence of a sophisticated eavesdropper having more receive-antennas than the legitimate user. Realistic constraints are considered by imposing statistical error bounds for the channel state information of both the eavesdropping and interference channels. Based on the asynchronous distributed pricing model, the proposed scheme maximizes the total utility of all the users, where each user's utility function is defined as the secrecy rate minus the interference cost imposed on other users. Using the weighted minimum mean square error, Schur complement and signdefiniteness techniques, the original non-concave optimization problem is approximated with high accuracy as a quasi-concave problem, which can be solved by the alternating convex search method. Simulation results consolidate our theoretical analysis and show that the proposed scheme outperforms the artificial noise-assisted interference alignment and minimum total meansquare error-based schemes.

*Index Terms*—Physical layer security, robust optimization, artificial noise, distributed precoding, MIMO, interference channel.

Received 17 January 2024; revised 7 July 2024 and 7 October 2024; accepted 10 October 2024. Date of publication 25 October 2024; date of current version 7 November 2024. This work was supported in part by the National Natural Science Foundation of China under Grant 62173256, in part by the National Key Research and Development Program of China under Grant 2021ZD0112702, and in part by Beijing Municipal Natural Science Foundation under Grant L242013. The associate editor coordinating the review of this article and approving it for publication was Prof. Diana Pamela Moya Osorio. (*Corresponding author: Shaoshi Yang.*)

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Digital Object Identifier 10.1109/TIFS.2024.3486548

#### I. INTRODUCTION

**S** ECURE communications in information-theoretic interference channel (IC) are vital for numerous applications, e.g., massive device-to-device communications and Internetof-Things in the 5G and 6G era [1]. This fact requires the study of physical layer security (PLS) to evolve from the simplified scenario that relies on point-to-point channel to the realistic scenario that depends on the complex IC [2], [3], [4], [5], [6], [7], [8], [9], [10]. However, the broadcast nature of wireless communication leads to challenging interference management issues when multiuser communications characterized by the IC model are considered [11].

Interference alignment (IA), which can achieve the optimal degrees of freedom for various interference-limited networks under certain conditions [12], [13], is regarded as a promising approach to interference management in IC. For each receiver of the traditional IA scheme, the interferences imposed by multiple individual transmitters are aligned through cooperative precoding into the same interference subspace, which is orthogonal to the signal subspace. Then, the desired signal can be recovered at each receiver as in an interference-free environment [14]. However, there exist a variety of significant challenges for the practical utilization of IA, such as the overhead for obtaining the global channel state information (CSI) [15] and the threat caused by a sophisticated multi-antenna eavesdropper (Eve).<sup>1</sup>

To reduce the overhead of acquiring the global CSI, Abrardo et al. [4] formulated a distributed weighted secrecy rate maximization problem that jointly optimizes the minimum mean-square error (MMSE) based precoder and the successive interference cancellation (SIC) based receiver in multi-input multi-output IC (MIMO-IC).<sup>2</sup> By considering the sophisticated multi-antenna Eve, the joint design of transmitter precoding matrix and receiver filtering matrix based on IA was developed in [5] for secure communication over wiretap MIMO-IC under the minimum total mean-square error (MT-MSE) criterion. However, the authors of [5] approached the MT-MSE based problem as a non-cooperative game, in which each user

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<sup>&</sup>lt;sup>1</sup>A sophisticated multi-antenna Eve is an eavesdropper with a sufficient number of antennas, who is capable of eliminating the interferences from other users in the MIMO-IC network and therefore can successfully eavesdrop on the target user.

<sup>&</sup>lt;sup>2</sup>The MIMO-IC is composed of multiple transmitter-receiver pairs communicating in parallel, where each node is equipped with multiple antennas and each transmitter imposes interference on its unintended receivers.

Feature Scheme	MIMO-IC	distributed processing	cooperative game	Eve	Imperfect CSI of EC	Imperfect CSI of IC
Our proposed scheme	<ul> <li>✓</li> </ul>	$\checkmark$	√	$\checkmark$	✓	$\checkmark$
IA [3]	$\checkmark$					
MMSE-SIC [4]	$\checkmark$	$\checkmark$				
MT-MSE [5]	~	$\checkmark$		$\checkmark$		
AN-IA [6]	√			$\checkmark$	$\checkmark$	
CB [7]				$\checkmark$	$\checkmark$	$\checkmark$
ADP [8]		$\checkmark$	$\checkmark$			

TABLE I COMPARISON OF OUR PROPOSED SCHEME AGAINST THE EXISTING CONTRIBUTIONS

selfishly maximizes their utilities in a distributed fashion, regardless of its interference imposed on other users. Thus, its equilibrium may not maximize the sum secrecy rate. Moreover, perfect CSI was assumed, which is extremely difficult to achieve in a practical MIMO-IC. Considering imperfect CSI of the eavesdropping channel (EC), Zhao et al. [6] proposed an artificial noise (AN) assisted IA (AN-IA) scheme to interrupt the external passive Eve, where the accurate CSI of IC is required to eliminate the inter-user interference (IUI) and the ANs imposed by other transmitters on the individual receivers. Furthermore, assuming imperfect CSI of both IC and EC, robust coordinated beamforming (CB) and power split scheme were studied in [7] for maximizing the sum secrecy rate over the multi-input single-output IC (MISO-IC). In [8], an asynchronous distributed pricing (ADP) algorithm was presented for distributed cooperative power allocation in the single-input single-output IC (SISO-IC). In addition, the work [16] analyzed the secrecy performance of a RIS-assisted multiuser massive MIMO system with AN and realistic constraints, including RIS phase noise and imperfect CSI. The authors of [17] investigated PLS for the RIS-assisted integrated sensing and communication systems, aiming to maximize the achievable sum secrecy rate by jointly optimizing the active and passive beamforming vectors. To the best of our knowledge, the existing contributions fail to ensure secure communications in the challenging distributed cooperative MIMO-IC when facing a sophisticated multi-antenna Eve and imperfect CSI. It is worth emphasizing that the perfect CSI of both the EC and IC is typically unavailable at each transmitter.

Against the above backdrop, we propose a distributed robust AN-aided secrecy precoding scheme based on imperfect CSI for wiretap MIMO-IC. Motivated by [8], to improve the equilibrium efficiency in the distributed cooperative MIMO-IC, we design an ADP mechanism, which is proved to satisfy the Karush-Kuhn-Tucker (KKT) conditions of the global sum secrecy rate maximization problem. Different from [8], however, in the case of imperfect CSI, the robust utility maximization problem for optimizing AN-aided secrecy precoding becomes intractable due to the non-concave objective function, the non-convex constraints, and the channel uncertainties. Facing the above challenges, we first transform the non-concave objective function into a quasi-concave one by employing the weighted MMSE (WMMSE) method [18]. Then, the Schur complement [19] and sign-definiteness [20] techniques are utilized to decouple the imperfect CSI constraints and transform Authorized licensed use limited to: UNIVERSITY OF SOUTHAMPTON. Downloaded on November 08,2024 at 09:15:52 UTC from IEEE Xplore. Restrictions apply.

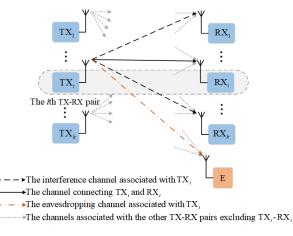


Fig. 1. A wireless network modeled by the K-user wiretap MIMO-IC.

these non-convex constraints into the linear matrix inequality (LMI) constraints. As a result, an approximate solution with high accuracy can be found by the alternating convex search (ACS) method [21]. Numerical results demonstrate the efficiency of the proposed scheme under imperfect CSI. For better clarity, a comparison of the main features of our proposed scheme with those of the existing works is presented in Table I.

The rest of this paper is organized as follows. In Section II, we describe the system model and formulate the corresponding optimization problem. Section III proposes a distributed artificial noise-assisted precoding scheme for secure communications using the ADP model. We also characterize both the convergence and the complexity of our scheme. Numerical results are provided in Section IV to evaluate the performance of the proposed algorithm. Finally, our conclusions are drawn in Section V.

*Notation:*  $(\cdot)^{T}$ ,  $(\cdot)^{H}$ , and  $(\cdot)^{*}$  denote the transpose, conjugate transpose, and optimal solution, respectively.  $vec(\cdot)$ ,  $rank(\cdot)$ , det(·), tr(·), and  $\|\cdot\|_F$  represent the vectorization, rank, determinant, trace, and Frobenius norm of a matrix, respectively.  $\ln(\cdot)$  denotes the natural logarithm, and  $\otimes$  represents the Kronecker product.

## **II. SYSTEM MODEL AND PROBLEM FORMULATION**

Consider a wireless network characterized by the Kuser wiretap MIMO-IC model, as shown in Fig. 1. Each  $N_{\rm T}$ -antenna transmitter (TX<sub>i</sub>,  $i \in \{1, \dots, K\}$ ) intends to send confidential messages to its corresponding  $N_{\rm R}$ -antenna receiver  $(RX_i)$  in the presence of a sophisticated Eve (E)

having  $N_{\rm E}$  antennas, where  $N_{\rm E} > N_{\rm R}$ . In addition to receiving confidential messages from the corresponding transmitter  $TX_i$ , the receiver  $RX_i$  also receives interference from the other transmitters  $TX_j$  ( $j \neq i$ ). The sophisticated multi-antenna Eve E intends to eavesdrop on a particular transmitter, e.g.,  $TX_i$ . Since  $N_{\rm E} > N_{\rm R}$ , Eve is more powerful than any legitimate receiver of the network in terms of the spatial-domain signal processing capability. Therefore, even if the legitimate receiver  $RX_i$  cannot decode the message sent by its corresponding transmitter  $TX_i$ , it is still possible for E to eliminate the interference generated by the other transmitters  $TX_j$  and decode the signal from the transmitter  $TX_i$  of interest. Our AN-aided secrecy precoding scheme aims to prevent eavesdropping and reduce the IUI imposed on legitimate receivers.

Let user *i* be the desired user considered. The signal transmitted by  $TX_i$  can be written as

$$\mathbf{x}_i = \mathbf{Q}_i \mathbf{s}_i + \Lambda_i \mathbf{z}_i, \tag{1}$$

where  $\mathbf{s}_i \in \mathbb{C}^{d_s \times 1}$  and  $\mathbf{z}_i \in \mathbb{C}^{d_a \times 1}$  denote the confidential-message bearing signal and the AN, respectively,  $\mathbf{Q}_i \in \mathbb{C}^{N_T \times d_s}$  is the secrecy precoding matrix for  $\mathbf{s}_i$ , and  $\Lambda_i \in \mathbb{C}^{N_T \times d_a}$  is the AN precoding matrix for  $\mathbf{z}_i$ . Each transmitted signal must satisfy the following power constraint:

$$\operatorname{tr}(\mathbf{Q}_{i}\mathbf{Q}_{i}^{\mathrm{H}} + \Lambda_{i}\Lambda_{i}^{\mathrm{H}}) \leq P_{\mathrm{tot}}, \qquad (2)$$

where  $P_{\text{tot}}$  is the total available power of each transmitter. In a scheduling time slot, the signal received at  $RX_i$  can be expressed as

$$\mathbf{y}_{i} = \sqrt{\omega_{\mathrm{M}}} \overline{\mathbf{H}}_{i,i} \mathbf{x}_{i} + \sum_{j \neq i} \sqrt{\omega_{\mathrm{I}}} \overline{\mathbf{H}}_{i,j} \mathbf{x}_{j} + \mathbf{n}_{i}, \qquad (3)$$

where  $\overline{\mathbf{H}}_{i,i} \in \mathbb{C}^{N_{R} \times N_{T}}$  is the true small-scale Rayleigh fading CSI of the (main) channel from TX<sub>i</sub> to RX<sub>i</sub>,  $\overline{\mathbf{H}}_{i,j} \in \mathbb{C}^{N_{R} \times N_{T}}$  is the true small-scale Rayleigh fading CSI of the IC from TX<sub>J</sub> to RX<sub>i</sub>, and  $\mathbf{n}_{i} \in \mathbb{C}^{N_{R} \times 1}$  is the additive white Gaussian noise (AWGN) vector with zero mean and covariance matrix  $\sigma_{R_{i}}^{2}\mathbf{I}$ [22]. Entries of  $\overline{\mathbf{H}}_{i,i}$  and  $\overline{\mathbf{H}}_{i,j}$  are independent and identically distributed (i.i.d) complex Gaussian random variables following the distribution  $\mathcal{CN}(0, 1)$ . Also  $\omega_{M} = \kappa d_{M}^{-\tau}$  and  $\omega_{I} = \kappa d_{I}^{-\tau}$  are the large-scale path-loss coefficients of the main channel and IC, respectively, where  $\tau = 2.6$  is the path-loss exponent and  $\kappa = 10^{-4}$  is the path-loss at unit distance [23], while  $d_{M}$  and  $d_{I}$  are the distances between transmitters and receivers of the main channel and IC, respectively.

In practice, we usually do not know about Eve. Hence, we assume the worst-case scenario where the sophisticated multi-antenna Eve can eliminate the interference imposed on its target user, i.e., user i. Therefore, when E wiretaps on user i, the signal received at the Eve is written as

$$\mathbf{y}_{\mathrm{E}_{i}} = \sqrt{\omega_{\mathrm{E}}} \mathbf{H}_{\mathrm{E}_{i}} \mathbf{x}_{i} + \mathbf{n}_{\mathrm{E}_{i}}, \qquad (4)$$

where  $\overline{\mathbf{H}}_{E_i} \in \mathbb{C}^{N_E \times N_T}$  is the true small-scale Rayleigh fading CSI of the EC from  $TX_i$  to E, whose entries are i.i.d complex Gaussian random variables following  $\mathcal{CN}(0, 1)$ , and  $\omega_E = \kappa d_E^{-\tau}$  is the large-scale path-loss coefficient of the EC with  $d_E$  denoting the distance between  $TX_i$  and E, while  $\mathbf{n}_{E_i} \in \mathbb{C}^{N_E \times 1}$  is the AWGN vector with zero mean and covariance matrix  $\sigma_{E_i}^2 \mathbf{I}$ . Given the perfect CSI, the real achievable rates of the legitimate user *i* and of the Eve for the *i*th TX-RX pair are respectively given by

$$\overline{C}_{\mathbf{R}_{i}} = \log \det \left( \mathbf{I} + \overline{\mathbf{Z}}_{\mathbf{R}_{i}} \overline{\mathbf{N}}_{\mathbf{R}_{i}}^{-1} \right), \tag{5}$$

$$\overline{C}_{\mathrm{E}_{i}} = \log \det \left( \mathbf{I} + \overline{\mathbf{Z}}_{\mathrm{E}_{i}} \overline{\mathbf{N}}_{\mathrm{E}_{i}}^{-1} \right), \tag{6}$$

where

$$\overline{\mathbf{Z}}_{\mathbf{R}_{i}} = \omega_{\mathrm{M}} \overline{\mathbf{H}}_{i,i} \mathbf{Q}_{i} \mathbf{Q}_{i}^{\mathrm{H}} \overline{\mathbf{H}}_{i,i}^{\mathrm{H}}, \tag{7}$$

$$\overline{\mathbf{N}}_{\mathbf{R}_{i}} = \omega_{\mathbf{M}} \overline{\mathbf{H}}_{i,i} \Lambda_{i} \Lambda_{i}^{\mathbf{H}} \overline{\mathbf{H}}_{i,i}^{\mathbf{H}} + \sigma_{\mathbf{R}_{i}}^{2} \mathbf{I} + \overline{\Upsilon}_{i}, \qquad (8)$$

$$\overline{\mathbf{Z}}_{\mathrm{E}_{i}} = \omega_{\mathrm{E}} \overline{\mathbf{H}}_{\mathrm{E}_{i}} \mathbf{Q}_{i} \mathbf{Q}_{i}^{\mathrm{H}} \overline{\mathbf{H}}_{\mathrm{E}_{i}}^{\mathrm{H}}, \tag{9}$$

$$\overline{\mathbf{N}}_{\mathbf{E}_{i}} = \omega_{\mathbf{E}} \overline{\mathbf{H}}_{\mathbf{E}_{i}} \Lambda_{i} \Lambda_{i}^{\mathbf{H}} \overline{\mathbf{H}}_{\mathbf{E}_{i}}^{\mathbf{H}} + \sigma_{\mathbf{E}_{i}}^{2} \mathbf{I}, \qquad (10)$$

$$\overline{\Upsilon}_{i} = \sum_{j \neq i} \omega_{\mathrm{I}} \overline{\mathbf{H}}_{i,j} (\mathbf{Q}_{j} \mathbf{Q}_{j}^{\mathrm{H}} + \Lambda_{j} \Lambda_{j}^{\mathrm{H}}) \overline{\mathbf{H}}_{i,j}^{\mathrm{H}}.$$
 (11)

Thus, the infimum of the real achievable secrecy rate of the ith TX-RX pair can be written as

$$\overline{C}_{S_{i}} = \overline{C}_{R_{i}} - \overline{C}_{E_{i}}$$

$$= \log \det \left( \mathbf{I} + \overline{\mathbf{Z}}_{R_{i}} \overline{\mathbf{N}}_{R_{i}}^{-1} \right) - \log \det \left( \frac{\overline{\mathbf{N}}_{E_{i}} + \overline{\mathbf{Z}}_{E_{i}}}{\overline{\mathbf{N}}_{E_{i}}} \right)$$

$$= \log \det \left( \mathbf{I} + \overline{\mathbf{Z}}_{R_{i}} \overline{\mathbf{N}}_{R_{i}}^{-1} \right) - \left( \log \det \left( \overline{\mathbf{N}}_{E_{i}} + \overline{\mathbf{Z}}_{E_{i}} \right) - \log \det \left( \overline{\mathbf{N}}_{E_{i}} \right) \right).$$
(12)

Under the deterministic model for characterizing the CSI uncertainty [24], we assume that the estimated channel **H** lies in the spherical zone centered at the true channel  $\overline{\mathbf{H}}$ , namely,

$$\mathbf{H} = \mathbf{H} + \Delta \mathbf{H},\tag{13}$$

where **H** and  $\Delta$ **H** are independent of each other and their entries are i.i.d complex Gaussian random variables with zero mean and variances of  $1 - \zeta^2$  and  $\zeta^2$ , respectively, with  $\zeta^2 \in$ [0, 1]. When the CSI is perfectly known at the transmitter,  $\zeta^2 = 0$ , while  $\zeta^2 = 1$  if the transmitter does not know the CSI [25]. E is assumed to be purely passive; hence, the CSI of wiretap channels is unavailable to the legitimate node. Thus, we adopt uncertainty model [26], which gives

$$\mathbf{H}_{E_{i}} \in \mathbb{S}_{E} \triangleq \{\mathbf{H}_{E_{i}} = \overline{\mathbf{H}}_{E_{i}} + \Delta \mathbf{H}_{E_{i}}, \Delta \mathbf{H}_{E_{i}} \sim \mathcal{CN}(0, \zeta_{E_{i}}^{2}\mathbf{I})\},$$

$$(14)$$

$$\mathbf{H}_{i,j} \in \mathbb{S}_{R} \triangleq \{\mathbf{H}_{i,j} = \overline{\mathbf{H}}_{i,j} + \Delta \mathbf{H}_{i,j}, \Delta \mathbf{H}_{i,j} \sim \mathcal{CN}(0, \zeta_{R_{i,j}}^{2}\mathbf{I})\}.$$

$$(15)$$

Due to the uncertainty in the CSI model, an accurate real channel  $\overline{\mathbf{H}}_{E_i}$  and  $\overline{\mathbf{H}}_{i,j}$  cannot be obtained. Instead, it is only known that the estimated channel  $\mathbf{H}_{E_i}$  and  $\mathbf{H}_{i,j}$  lie within the spherical zone centered at the true channel. Consequently, the real achievable secrecy rate cannot be determined, and the estimated achievable secrecy rate of the *i*th TX-RX pair can be written as

$$C_{\mathbf{S}_{i}} = C_{\mathbf{R}_{i}} - C_{\mathbf{E}_{i}}$$
  
= log det (**I** + **Z**<sub>R<sub>i</sub></sub>**N**<sub>R<sub>i</sub></sub><sup>-1</sup>) - (log det (**N**<sub>E<sub>i</sub></sub> + **Z**<sub>E<sub>i</sub></sub>)  
- log det (**N**<sub>E<sub>i</sub></sub>)), (16)

where

$$\mathbf{Z}_{\mathbf{R}_{i}} = \omega_{\mathrm{M}} \mathbf{H}_{i,i} \mathbf{Q}_{i} \mathbf{Q}_{i}^{\mathrm{H}} \mathbf{H}_{i,i}^{\mathrm{H}}, \qquad (17)$$

$$\mathbf{N}_{\mathbf{R}_{i}} = \omega_{\mathbf{M}} \mathbf{H}_{i,i} \Lambda_{i} \Lambda_{i}^{\mathbf{H}} \mathbf{H}_{i,i}^{\mathbf{H}} + \sigma_{\mathbf{R}_{i}}^{2} \mathbf{I} + \Upsilon_{i}, \qquad (18)$$

$$\mathbf{Z}_{\mathrm{E}_{i}} = \omega_{\mathrm{E}} \mathbf{H}_{\mathrm{E}_{i}} \mathbf{Q}_{i} \mathbf{Q}_{i}^{\mathrm{H}} \mathbf{H}_{\mathrm{E}_{i}}^{\mathrm{H}}, \qquad (19)$$

$$\mathbf{N}_{\mathrm{E}_{i}} = \omega_{\mathrm{E}} \mathbf{H}_{\mathrm{E}_{i}} \Lambda_{i} \Lambda_{i}^{\mathrm{H}} \mathbf{H}_{\mathrm{E}_{i}}^{\mathrm{H}} + \sigma_{\mathrm{E}_{i}}^{2} \mathbf{I}, \qquad (20)$$

$$\Upsilon_{i} = \sum_{j \neq i} \omega_{\mathrm{I}} \mathbf{H}_{i,j} \big( \mathbf{Q}_{j} \mathbf{Q}_{j}^{\mathrm{H}} + \Lambda_{j} \Lambda_{j}^{\mathrm{H}} \big) \mathbf{H}_{i,j}^{\mathrm{H}}.$$
(21)

Therefore, the transmitters are aware of imperfect CSIs for all receivers, and the *centralized* sum secrecy rate maximization problem for the whole system can be formulated as

$$\mathcal{P}_{1}: \max_{\forall \mathbf{Q}_{i} \geq \mathbf{0}, \Lambda_{i} \geq \mathbf{0}} \sum_{i=1}^{K} C_{\mathbf{S}_{i}}, \qquad (22a)$$

s.t. 
$$\operatorname{tr}(\mathbf{Q}_{i}\mathbf{Q}_{i}^{\mathrm{H}} + \Lambda_{i}\Lambda_{i}^{\mathrm{H}}) \leq P_{\operatorname{tot}},$$
 (22b)

$$\forall \mathbf{H}_{i,j} \in \mathbb{S}_{\mathbb{R}}, \forall \mathbf{H}_{\mathbb{E}_i} \in \mathbb{S}_{\mathbb{E}}, i, j \in \{1, 2, \cdots, K\}.$$
(22c)

To calculate  $\sum_{i=1}^{K} C_{S_i}$ , a central node that can obtain all CSI  $\mathbf{H}_{i,j}, i, j \in \{1, \dots, K\}$  is needed to calculate the secrecy precoding matrix, AN precoding matrix and decoding matrices for each transmitter. Then, the calculated result is transmitted back to each transmitter. To ensure that the central node can obtain the global CSI, each  $TX_i - RX_i$  pair needs to send the channel it can obtain ( $\mathbf{H}_{E_i}$  and  $\mathbf{H}_{i,j}$ ) to the central node.

# III. DISTRIBUTED ROBUST AN-AIDED SECRECY PRECODING EMPLOYING THE ADP MODEL

## A. ADP Model for Robust AN-Aided Secure Precoding

Based on the centralized interference management strategy, the authors of [27], [28], [29], and [30] assume a central node can obtain the global CSI at transmitters. However, it is a challenge to construct such a central node in practice [31]. In this subsection, we present an ADP model for robust AN-aided secure precoding, as shown in Fig. 2. In contrast to the centralized model, where the global CSI must be made available to all the transmitters via the central node, under the ADP model, only the interference prices of individual TX-RX pairs have to be exchanged between the transmitters. More specifically, the *i*-th TX-RX pair optimizes its secrecy precoding matrix, AN precoding matrix, and decoding matrices based on the utility function and then calculates the interference price for this TX-RX pair and broadcasts it to other TX-RX pairs, while continuously iterating, until the overall utility function converges. Thus, in our scheme, the limited information the transmitters exchange is the interference price, which reflects the marginal change in the utility per unit interference power.

Relying on the following matrix derivative formula:

$$\frac{\partial \log \det(\mathbf{A} + \mathbf{B}\mathbf{X}\mathbf{C})}{\partial \mathbf{X}} = \left(\mathbf{C}(\mathbf{A} + \mathbf{B}\mathbf{X}\mathbf{C})^{-1}\mathbf{B}\right), \quad (23)$$

the explicit expression of the interference price for the ith TX-RX pair can be expressed as

$$\Pi_{i} = \frac{\partial C_{\mathbf{S}_{i}}}{\partial \Upsilon_{i}} = \left( \mathbf{Z}_{\mathbf{R}_{i}} + \mathbf{N}_{\mathbf{R}_{i}} \right)^{-1} - \left( \mathbf{N}_{\mathbf{R}_{i}} \right)^{-1}, \qquad (24)$$

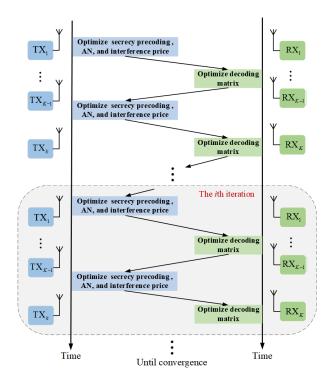


Fig. 2. Distributed ADP model for robust AN-aided secure precoding.

where  $\Upsilon_i$  is the total interference received by the *i*th TX-RX pair. Here,  $\Pi_i$  represents the *i*th TX-RX pair's marginal increase in utility per unit decrease in total interference.

Given the fixed interference prices and AN-aided secrecy precoding matrices from the other TX-RX pairs, the *i*th TX-RX pair updates its AN-aided precoding matrix by *distributively* solving the following subproblem:

$$\mathcal{P}_2: \max_{\mathbf{Q}_i \succeq \mathbf{0}, \Lambda_i \succeq \mathbf{0}} f_i, \tag{25a}$$

s.t. 
$$\operatorname{tr}(\mathbf{Q}_{i}\mathbf{Q}_{i}^{\mathrm{H}} + \Lambda_{i}\Lambda_{i}^{\mathrm{H}}) \leq P_{\mathrm{tot}},$$
 (25b)

$$\forall \mathbf{H}_{i,j} \in \mathbb{S}_{\mathbf{R}}, \forall \mathbf{H}_{\mathbf{E}_i} \in \mathbb{S}_{\mathbf{E}}.$$
 (25c)

Since each TX-RX pair's achievable secrecy rate  $C_{S_i}$  is determined without considering interference with other TX-RX pairs, when  $Q_j$  and  $\Lambda_j$  are fixed, the *i*th TX-RX pair will selfishly maximize their own achievable secrecy rate  $C_{S_i}$ while causing greater interference with other TX-RX pairs. Thus, we introduce interference prices and view it as a price charged to other TX-RX pairs for generating interference to *i*th TX-RX pair. The utility function  $f_i$  of the *i*th TX-RX pair is defined as its secrecy rate minus payment to the other TX-RX pairs in the network due to the interference it generates [8], namely,

$$f_{i} = C_{\mathbf{S}_{i}} - \sum_{j \neq i} \omega_{\mathrm{I}} \mathrm{tr} \Big( \Pi_{j} \mathbf{H}_{i,j} \big( \mathbf{Q}_{i} \mathbf{Q}_{i}^{\mathrm{H}} + \Lambda_{i} \Lambda_{i}^{\mathrm{H}} \big) \mathbf{H}_{i,j}^{\mathrm{H}} \Big).$$
(26)

Unlike  $\mathcal{P}_1$ , which focuses on optimizing the achievable secrecy sum-rate at the central node,  $\mathcal{P}_2$  focuses on the utility function of the *i*th TX-RX pair. Our proposed ADP model sequentially optimizes the utility function  $f_i, i \in$  $\{1, 2, \dots, K\}$  of each TX-RX pair among K TX-RX pairs until they reach their maximum values. However, it is necessary to ensure that the *i*th TX-RX pair can obtain the distribution of CSI ( $\mathbf{H}_{E_i}$  and  $\mathbf{H}_{i,j}$ ) related to it.

The robust AN-aided secrecy precoding problem  $\mathcal{P}_2$  is difficult to solve directly due to the non-concave term  $-\log \det(\cdot)$ with respect to  $\{\mathbf{Q}_i, \Lambda_i\}$  [32], the nonlinear term log det(·) and the imperfect CSI constraints (25c). In order to transform  $\mathcal{P}_2$  into a tractable problem, [32, Proposition 1] is invoked to reformulate the non-concave term as follows:

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$$-\log \det \left( \mathbf{Z}_{\mathrm{E}_{i}} + \mathbf{N}_{\mathrm{E}_{i}} \right)$$
  
= 
$$\max_{\mathbf{S}_{\mathrm{E}_{i}} \succeq \mathbf{0}} \log \det(\mathbf{S}_{\mathrm{E}_{i}}) - \operatorname{tr} \left( \mathbf{S}_{\mathrm{E}_{i}} \left( \mathbf{Z}_{\mathrm{E}_{i}} + \mathbf{N}_{\mathrm{E}_{i}} \right) \right) + N_{\mathrm{E}}, \quad (27)$$

where  $\mathbf{S}_{E_{-i}} \in \mathbb{C}^{N_E \times N_E}$  is the auxiliary variable with its optimal solution expressed in the closed form as:

$$\mathbf{S}_{\mathrm{E}_{i}}^{\star} = \left( \mathbf{Z}_{\mathrm{E}_{i}} + \mathbf{N}_{\mathrm{E}_{i}} \right)^{-1}.$$
 (28)

To overcome the difficulty imposed by the nonlinearity  $\log \det(\cdot)$ , the idea of the WMMSE method [18] is employed to transform the achievable rate into its equivalent counterpart by introducing some auxiliary variables as follows:

$$C_{\mathbf{R}_{i}} = \max_{\mathbf{S}_{\mathbf{R}_{i}} \succeq \mathbf{0}} \log \det \left( \mathbf{S}_{\mathbf{R}_{i}} \right) - \operatorname{tr} \left( \mathbf{S}_{\mathbf{R}_{i}} \mathbf{M}_{\mathbf{R}_{i}} \right) + d_{\mathrm{s}}, \quad (29)$$

$$\log \det \left( \mathbf{N}_{\mathrm{E}_{i}} \right) = \max_{\mathbf{S}_{\mathrm{E}_{i}} \succeq \mathbf{0}} \log \det \left( \mathbf{S}_{\mathrm{E}_{i}} \right) - \mathrm{tr} \left( \mathbf{S}_{\mathrm{E}_{i}} \mathbf{M}_{\mathrm{E}_{i}} \right) + N_{\mathrm{E}}, \quad (30)$$

where  $M_{R_i}$  and  $M_{E_i}$  are the auxiliary mean-square error (MSE) matrices of  $RX_i$  and E, respectively, and they are defined as:

$$\mathbf{M}_{\mathbf{R}_{i}} = \left(\sqrt{\omega_{\mathbf{M}}} \mathbf{U}_{\mathbf{R}_{i}}^{\mathbf{H}} \mathbf{H}_{i,i} \mathbf{Q}_{i} - \mathbf{I}\right) \left(\sqrt{\omega_{\mathbf{M}}} \mathbf{U}_{\mathbf{R}_{i}}^{\mathbf{H}} \mathbf{H}_{i,i} \mathbf{Q}_{i} - \mathbf{I}\right)^{\mathbf{H}} + \mathbf{U}_{\mathbf{R}_{i}}^{\mathbf{H}} \mathbf{N}_{\mathbf{R}_{i}} \mathbf{U}_{\mathbf{R}_{i}},$$
(31)

$$\mathbf{M}_{\mathrm{E}_{i}} = \left(\sqrt{\omega_{\mathrm{E}}} \mathbf{U}_{\mathrm{E}_{i}}^{\mathrm{H}} \mathbf{H}_{\mathrm{E}_{i}} \Lambda_{i} - \mathbf{I}\right) \left(\sqrt{\omega_{\mathrm{E}}} \mathbf{U}_{\mathrm{E}_{i}}^{\mathrm{H}} \mathbf{H}_{\mathrm{E}_{i}} \Lambda_{i} - \mathbf{I}\right)^{\mathrm{H}} + \frac{\omega_{\mathrm{E}}}{\sigma_{\mathrm{E}_{i}}^{2}} \mathbf{U}_{\mathrm{E}_{i}}^{\mathrm{H}} \mathbf{U}_{\mathrm{E}_{i}}, \qquad (32)$$

in which  $\mathbf{U}_{\mathbf{R}_i} \in \mathbb{C}^{N_{\mathbf{R}} \times d_{\mathbf{S}}}$  and  $\mathbf{U}_{\mathbf{E}_i} \in \mathbb{C}^{N_{\mathbf{E}} \times d_{\mathbf{S}}}$  are the decoding matrices of the well-known linear MMSE receivers for RX<sub>i</sub> and E, respectively, and they can be expressed as

$$\mathbf{U}_{\mathbf{R}_{i}} = \left(\mathbf{N}_{\mathbf{R}_{i}}\right)^{-1} \mathbf{H}_{i,i} \mathbf{Q}_{i}, \qquad (33)$$

$$\mathbf{U}_{\mathrm{E}_{i}} = \sqrt{\frac{\sigma_{\mathrm{E}_{i}}^{2}}{\omega_{\mathrm{E}}}} \mathbf{H}_{\mathrm{E}_{i}} \Lambda_{i}.$$
 (34)

Similar to  $\mathbf{S}_{\text{E}_{i}}$  of (27),  $\mathbf{S}_{\text{R}_{i}}$  and  $\mathbf{S}_{\text{E}_{i}}$  in (29) and (30) are also auxiliary variables with their optimal solutions given by

$$\mathbf{S}_{\mathbf{R}_{i}}^{\star} = \left(\mathbf{M}_{\mathbf{R}_{i}}\right)^{-1},\tag{35}$$

$$\mathbf{S}_{\mathrm{E}+i}^{\star} = \left(\mathbf{M}_{\mathrm{E}i}\right)^{-1}.$$
 (36)

To decouple the imperfect CSI constraints (25c), the slack variables  $\alpha_i$ ,  $\beta_i$ ,  $\gamma_i$  and  $\theta_{i,j}$  are introduced concerning the imperfect CSIs modeled by (14) and (15). With the aforementioned manipulations, we transform  $\mathcal{P}_2$  into

$$\mathcal{P}_3: \max_{\mathbf{Q}_i \succeq \mathbf{0}, \Lambda_i \succeq \mathbf{0}} g_i, \qquad (37a)$$

s.t. 
$$\operatorname{tr}(\Xi_{\mathbf{R}_i}) \le \alpha_i$$
, (37b)  
 $\operatorname{tr}(\Xi_{\mathbf{R}_i}) \le \theta_i$ 

$$\operatorname{tr}(\Xi_{\mathrm{E}+i}) \leq p_i, \qquad (37c)$$
$$\operatorname{tr}(\Xi_{\mathrm{E}-i}) \leq \gamma_i, \qquad (37d)$$

$$\operatorname{tr}\left(\Xi_{\mathbf{R}_{i,j}}\right) \le \theta_{i,j},\tag{37e}$$

$$\operatorname{tr}(\mathbf{Q}_{i}\mathbf{Q}_{i}^{\mathbf{H}} + \Lambda_{i}\Lambda_{i}^{\mathbf{H}}) \leq P_{\operatorname{tot}}, \qquad (37f)$$

$$\forall \mathbf{H}_{i,j} \in \mathbb{S}_{\mathbf{R}}, \forall \mathbf{H}_{\mathbf{E}_i} \in \mathbb{S}_{\mathbf{E}}, \tag{37g}$$

where the new utility  $g_i$  is defined by

$$g_{i} = 2 \log \det \left(\mathbf{F}_{\mathbf{R}_{i}}\right) - \alpha_{i} + d_{s} + 2 \log \det \left(\mathbf{F}_{\mathbf{E}_{i}}\right) - \gamma_{i} + N_{\mathbf{E}} + 2 \log \det \left(\mathbf{F}_{\mathbf{E}_{i}}\right) - \beta_{i} + d_{a} - \sum_{j \neq i} \omega_{\mathrm{I}} \theta_{i,j}, \qquad (38)$$

in which  $\mathbf{F}_{\mathbf{R}_{i}} = \mathbf{S}_{\mathbf{R}_{i}}^{\frac{1}{2}}, \mathbf{F}_{\mathbf{E}_{i}} = \mathbf{S}_{\mathbf{E}_{i}}^{\frac{1}{2}}, \mathbf{F}_{\mathbf{E}_{i}} = \mathbf{S}_{\mathbf{E}_{i}}^{\frac{1}{2}}$ , and

$$\mathbf{E}_{\mathbf{R}_i} = \mathbf{S}_{\mathbf{R}_i} \mathbf{M}_{\mathbf{R}_i},\tag{39}$$

$$\Xi_{\mathrm{E}+_{i}} = \mathbf{S}_{\mathrm{E}+_{i}} \mathbf{M}_{\mathrm{E}_{i}}, \tag{40}$$

$$\Xi_{\mathbf{E}_{-i}} = \mathbf{S}_{\mathbf{E}_{-i}} (\mathbf{Z}_{\mathbf{E}_i} + \mathbf{N}_{\mathbf{E}_i}), \tag{41}$$

$$\Xi_{\mathbf{R}_{i,j}} = \Pi_j \mathbf{H}_{i,j} \big( \mathbf{Q}_i \mathbf{Q}_i^{\mathrm{H}} + \Lambda_i \Lambda_i^{\mathrm{H}} \big) \mathbf{H}_{i,j}^{\mathrm{H}}.$$
(42)

Although the objective function in (37) is convex, the problem (37) is still intractable due to the semi-definite constraints (37b)-(37e). We further transform this optimization problem into a solvable form in the following.

Upon exploiting the trace properties

$$\operatorname{tr}(\mathbf{A}\mathbf{A}^{\mathrm{H}}) = \|\operatorname{vec}(\mathbf{A})\|^{2}, \qquad (43)$$

$$\operatorname{vec}(\mathbf{ABC}) = (\mathbf{C}^{\mathrm{T}} \otimes \mathbf{A})\operatorname{vec}(\mathbf{B}), \qquad (44)$$

and neglecting higher-order uncertainty terms, the semidefinite constraint (37b) can be rewritten as

$$\operatorname{tr}(\Xi_{\mathbf{R}_{i}}) = \|\overline{\Xi}_{\mathbf{R}_{i}} + \Delta \Xi_{\mathbf{R}_{i}}\|^{2} \le \alpha_{i}, \qquad (45)$$

where

$$\overline{\Xi}_{\mathbf{R}_{i}} = \begin{bmatrix} \operatorname{vec}\left(\mathbf{F}_{\mathbf{R}_{i}}\left(\sqrt{\omega_{\mathbf{M}}}\mathbf{U}_{\mathbf{R}_{i}}^{\mathrm{H}}\overline{\mathbf{H}}_{i,i}\mathbf{Q}_{i}-\mathbf{I}\right)\right) \\ \operatorname{vec}\left(\sqrt{\omega_{\mathbf{M}}}\mathbf{F}_{\mathbf{R}_{i}}\mathbf{U}_{\mathbf{R}_{i}}^{\mathrm{H}}\overline{\mathbf{H}}_{i,i}\Lambda_{i}\right) \\ \operatorname{vec}\left(\mathbf{F}_{\mathbf{R}_{i}}\Upsilon_{i}^{\frac{1}{2}}\right) \\ \sigma_{\mathbf{R}_{i}}\operatorname{vec}\left(\mathbf{F}_{\mathbf{R}_{i}}\right) \end{bmatrix}, \quad (46)$$

$$\Delta \Xi_{\mathbf{R}_{i}} = \underbrace{\begin{bmatrix} \mathbf{Q}_{i}^{\mathrm{T}}\otimes\left(\sqrt{\omega_{\mathbf{M}}}\mathbf{F}_{\mathbf{R}_{i}}\mathbf{U}_{\mathbf{R}_{i}}\right) \\ \Lambda_{i}^{\mathrm{T}}\otimes\left(\sqrt{\omega_{\mathbf{M}}}\mathbf{F}_{\mathbf{R}_{i}}\mathbf{U}_{\mathbf{R}_{i}}^{\mathrm{H}}\right) \\ \mathbf{0} \end{bmatrix}}_{\mathbf{Vec}}\left(\Delta\mathbf{H}_{i,i}\right). \quad (47)$$

To eliminate the uncertainty  $\Delta \Xi_{R_i}$ , the Schur complement lemma [19] are applied to define a hermitian matrix  $\Phi$  that satisfy:

$$\Phi = \begin{bmatrix} \alpha_i & \left(\overline{\Xi}_{R_i} + \Delta \Xi_{R_i}\right)^H \\ \overline{\Xi}_{R_i} + \Delta \Xi_{R_i} & I \end{bmatrix} \succeq \mathbf{0}.$$
(48)

Thus, we have

$$\begin{bmatrix} \alpha_i & \overline{\Xi}_{R_i}^{\mathrm{H}} \\ \overline{\Xi}_{R_i} & \mathrm{I} \end{bmatrix} \succeq \begin{bmatrix} 0 & -\Delta \Xi_{R_i}^{\mathrm{H}} \\ -\Delta \Xi_{R_i} & \mathbf{0} \end{bmatrix}.$$
(49)

Furthermore, in consideration of sign-definiteness lemma [20, Lemma 1], define matrices A, then, (49) can be transformed as

$$\mathbf{A} \succeq \mathbf{P}^{\mathbf{H}} \mathbf{X} \mathbf{Q} + \mathbf{Q}^{\mathbf{H}} \mathbf{X}^{\mathbf{H}} \mathbf{P}, \tag{50}$$

where

$$\mathbf{A} = \begin{bmatrix} \alpha_i & \overline{\Xi}_{R_i}^{\mathrm{H}}, \\ \overline{\Xi}_{R_i} & \mathrm{I} \end{bmatrix}, \tag{51}$$

$$\mathbf{P} = \begin{bmatrix} \mathbf{0}^{\mathrm{H}} & \mathbf{J}_{\mathrm{R}_{i,i}}^{\mathrm{H}} \end{bmatrix}, \tag{52}$$

$$\mathbf{Q} = \begin{bmatrix} -1 & \mathbf{0} \end{bmatrix}, \tag{53}$$
$$\mathbf{Y} = \operatorname{vec}\left(\mathbf{A} \mathbf{H}_{-}\right) \tag{54}$$

$$\mathbf{X} = \operatorname{Vec}\left(\Delta \mathbf{H}_{i,i}\right). \tag{34}$$

According to the sign-definiteness lemma, (50) holds if and only if there exist nonnegative real numbers  $\lambda_{\alpha_i}$  such that

$$\begin{bmatrix} \begin{bmatrix} \alpha_{i} - \lambda_{\alpha_{i}} & \overline{\Xi}_{\mathsf{R}_{i}}^{\mathsf{H}} \\ \overline{\Xi}_{\mathsf{R}_{i}} & \mathbf{I} \end{bmatrix} & -\zeta_{\mathsf{R}_{i,i}} \begin{bmatrix} \mathbf{0}^{\mathsf{T}} \\ \mathbf{J}_{\mathsf{R}_{i,i}} \end{bmatrix} \\ -\zeta_{\mathsf{R}_{i,i}} \begin{bmatrix} \mathbf{0} & \mathbf{J}_{\mathsf{R}_{i,i}}^{\mathsf{H}} \end{bmatrix} & \lambda_{\alpha_{i}} \mathbf{I} \end{bmatrix} \succeq \mathbf{0}, \quad (55)$$

where

$$\mathbf{J}_{\mathbf{R}_{i,j}} = \begin{bmatrix} \mathbf{Q}_i^{\mathrm{T}} \otimes \Pi_j \\ \Lambda_i^{\mathrm{T}} \otimes \Pi_j \end{bmatrix}.$$
 (56)

Until now, (37b) has been transformed into a linear matrix inequality (LMI) (55).

Similarly, in the presence of nonnegative real numbers  $\lambda_{\beta_i}$ ,  $\lambda_{\gamma_i}$  and  $\lambda_{\theta_{i,j}}$ , the constraints (37c)-(37e) are rewritten as the following corresponding LMIs:

$$\begin{bmatrix} \begin{bmatrix} \beta_{i} - \lambda_{\beta_{i}} & \overline{\Xi}_{E+i}^{H} \\ \overline{\Xi}_{E+i} & \mathbf{I} \end{bmatrix} & -\zeta_{E_{i}} \begin{bmatrix} \mathbf{0}^{T} \\ \mathbf{J}_{E+i} \end{bmatrix} \\ -\zeta_{E_{i}} \begin{bmatrix} \mathbf{0} & \mathbf{J}_{E+i}^{H} \end{bmatrix} & \lambda_{\beta_{i}} \mathbf{I} \end{bmatrix} \succeq \mathbf{0}, \quad (57)$$

$$\begin{bmatrix} \begin{bmatrix} \gamma_{i} - \lambda_{\gamma_{i}} & \overline{\Xi}_{E_{\tau_{i}}}^{H} \\ \overline{\Xi}_{E_{\tau_{i}}} & \mathbf{I} \end{bmatrix} & -\zeta_{E_{i}} \begin{bmatrix} \mathbf{0}^{T} \\ \mathbf{J}_{E_{\tau_{i}}} \end{bmatrix} \\ -\zeta_{E_{i}} \begin{bmatrix} \mathbf{0} & \mathbf{J}_{E_{\tau_{i}}}^{H} \end{bmatrix} & \lambda_{\gamma_{i}} \mathbf{I} \end{bmatrix} \succeq \mathbf{0}, \quad (58)$$
$$\begin{bmatrix} \theta_{i,j} - \lambda_{\theta_{i,j}} & \overline{\Xi}_{R_{i,j}}^{H} \end{bmatrix} - \zeta_{R_{i,i}} \begin{bmatrix} \mathbf{0}^{T} \\ \mathbf{J}_{E_{\tau_{i}}} \end{bmatrix}$$

 $\begin{bmatrix} \begin{bmatrix} \cdot, \cdot, j & -\kappa_{i,j} & -\zeta_{\mathbf{R}_{i,j}} \\ \Xi_{\mathbf{R}_{i,j}} & \mathbf{I} \end{bmatrix} & -\zeta_{\mathbf{R}_{i,j}} \begin{bmatrix} \mathbf{J}_{\mathbf{R}_{i,j}} \\ \mathbf{J}_{\mathbf{R}_{i,j}} \end{bmatrix} \geq \mathbf{0}, \\ -\zeta_{\mathbf{R}_{i,j}} \begin{bmatrix} \mathbf{0} & \mathbf{J}_{\mathbf{R}_{i,j}}^{\mathbf{H}} \end{bmatrix} & \lambda_{\theta_{i,j}} \mathbf{I} \end{bmatrix}$ 

in which

$$\overline{\Xi}_{E+i} = \begin{bmatrix} \operatorname{vec} \left( \mathbf{F}_{E+i} \left( \sqrt{\omega_{E}} \mathbf{U}_{E_{i}}^{H} \overline{\mathbf{H}}_{E_{i}} \Lambda_{i} - \mathbf{I} \right) \right) \\ \frac{\sigma_{E_{i}}}{\sqrt{\omega_{E}}} \operatorname{vec} \left( \mathbf{F}_{E+i} \mathbf{U}_{E_{i}}^{H} \right) \end{bmatrix}, \quad (60)$$

$$\mathbf{J}_{\mathbf{E}+_{i}} = \begin{bmatrix} \Lambda_{i}^{\mathrm{T}} \otimes \left( \sqrt{\omega_{\mathrm{E}}} \mathbf{F}_{\mathrm{E}+_{i}} \mathbf{U}_{\mathrm{E}_{i}}^{\mathrm{H}} \right) \\ \mathbf{0} \end{bmatrix}, \tag{61}$$

$$\overline{\Xi}_{\text{E}_{-i}} = \begin{bmatrix} \operatorname{vec}(\mathbf{F}_{\text{E}_{-i}} \overline{\mathbf{H}}_{\text{E}_{i}} \mathbf{Q}_{i}) \\ \operatorname{vec}(\mathbf{F}_{\text{E}_{-i}} \overline{\mathbf{H}}_{\text{E}_{i}} \Lambda_{i}) \\ \sigma_{\text{E}_{i}} \operatorname{vec}(\mathbf{F}_{\text{E}_{-i}}) \end{bmatrix}, \qquad (62)$$

$$\mathbf{J}_{\mathbf{E}_{i}} = \begin{bmatrix} \mathbf{Q} & \mathbf{U} & \mathbf{E}_{i} \\ \boldsymbol{\Lambda}_{i}^{\mathrm{T}} \otimes \mathbf{F}_{\mathbf{E}_{i}} \\ \mathbf{0} \end{bmatrix}, \tag{63}$$

$$\overline{\Xi}_{\mathbf{R}_{i,j}} = \begin{bmatrix} \operatorname{vec}(\overline{\mathbf{H}}_{i,j}\mathbf{Q}_i) \\ \operatorname{vec}(\overline{\mathbf{H}}_{i,j}\Lambda_i) \end{bmatrix}.$$
(64)

As a result, the robust design of AN-aided secrecy precoding and decoding matrices at each  $TX_i$ -RX<sub>i</sub> pair can be reformulated as

$$\mathcal{P}_{4}: \max_{\substack{\mathbf{Q}_{i},\Lambda_{i},\mathbf{U}_{\mathbf{R}_{i}},\mathbf{U}_{\mathbf{E}_{i}},\mathbf{F}_{\mathbf{R}_{i}},\mathbf{F}_{\mathbf{E}_{i}},\mathbf{F}_{\mathbf{E}_{i}} \geq \mathbf{0}} g_{i}, \qquad (65a)$$

s.t. 
$$(55), (57) - (59), (37f).$$
 (65b)

Algorithm 1 Proposed ADP Algorithm Based on WMMSE **Initialization**: Give maximum number of iterations  $L_{max}$ and termination threshold  $\epsilon$ ; Each transmitter  $i, i \in \{1, 2, \dots, K\}$ , chooses feasible AN-aided secrecy precoding  $\mathbf{Q}_i$  and  $\Lambda_i$ , interference price, and auxiliary variables  $\mathbf{F}_{\mathbf{R}_i}$ ,  $\mathbf{F}_{\mathbf{E}+_i}$ ,  $\mathbf{F}_{\mathbf{E}-_i}$  and  $\mathbf{U}_{\mathbf{E}_i}$ ; Set l = 0; while  $\Delta g_i \geq \epsilon$  and  $l \leq L_{max}$  do 1. for i = 1 : K do 1) Solve  $\mathcal{P}_4$  to update  $\mathbf{U}_{\mathbf{R}_i}$  with other parameters fixed at receiver  $RX_i$ ; 2) Solve  $\mathcal{P}_4$  to update  $\mathbf{F}_{\mathbf{R}_i}$  for fixed  $\mathbf{U}_{\mathbf{R}_i}$  found in the previous step at receiver  $RX_i$ ; 3) Solve  $\mathcal{P}_4$  to update  $\mathbf{F}_{\mathbf{R}_i}$  for fixed  $\mathbf{U}_{\mathbf{R}_i}$  found in the previous step at receiver  $RX_i$ ; 4) Calculate interference price  $\Pi_i$  based on (24) at  $TX_i$  and then broadcast it through a beacon; 5) Fixing  $\mathbf{F}_{\mathbf{R}_i}$  and  $\mathbf{U}_{\mathbf{R}_i}$  found in the previous steps,  $TX_i$  updates its AN-aided secrecy precoding { $\mathbf{Q}_i$ ,  $\Lambda_i$ } by solving  $\mathcal{P}_4$ ; 6) Solve  $\mathcal{P}_4$  to update  $\mathbf{U}_{\mathbf{E}_i}$  for fixed  $\Lambda_i$  found in the previous step at  $TX_i$ ; 7) Solve  $\mathcal{P}_4$  to update  $\mathbf{F}_{E_i}$  for fixed  $\mathbf{U}_{E_i}$  found in

the previous step at TX<sub>i</sub>;
end
2. l=l+1;

(59)

**Output:** Output results  $\mathbf{Q}_i^{\star}$ ,  $\Lambda_i^{\star}$ ,  $\mathbf{U}_{\mathbf{R}_i}^{\star}$ .

The semi-definite program (SDP) problem  $\mathcal{P}_4$  remains non-convex since some of the optimization variables are coupled with each other by multiplications, e.g.,  $\mathbf{F}_{\mathbf{R}_i}$ ,  $\mathbf{U}_{\mathbf{R}_i}$ ,  $\mathbf{Q}_i$  and  $\Lambda_i$  in (55), and similar observations are obtained from (57) and (59). Fixing some optimization variables can make  $\mathcal{P}_4$  into a convex optimization problem. In other words, with proper manipulations, its sub-problems become convex, readily solvable with the alternating convex search (ACS) method [21]. Algorithm 1 summarizes this proposed algorithm for solving the nonlinear nonconvex problem  $\mathcal{P}_4$ .

## B. Convergence and Optimality Analysis

1) Convergence Analysis: The convergence of Algorithm 1 is guaranteed because the objective utility function is monotonously increasing at each iteration and is bounded by power constraint and the interference price constraint (37e). Specifically, we have

$$g_{i}^{n+1} = g\left(\mathbf{F}_{E_{-i}}^{n+1}, \mathbf{F}_{E_{+i}}^{n+1}, \mathbf{U}_{E_{i}}^{n+1}, \mathbf{Q}_{i}^{n+1}, \Lambda_{i}^{n+1}, \mathbf{F}_{R_{i}}^{n+1}, \mathbf{U}_{R_{i}}^{n+1}\right)$$

$$\geq g\left(\mathbf{F}_{E_{-i}}^{n+1}, \mathbf{F}_{E_{+i}}^{n+1}, \mathbf{U}_{E_{i}}^{n+1}, \mathbf{Q}_{i}^{n+1}, \Lambda_{i}^{n+1}, \mathbf{F}_{R_{i}}^{n}, \mathbf{U}_{R_{i}}^{n}\right)$$

$$\geq g\left(\mathbf{F}_{E_{-i}}^{n+1}, \mathbf{F}_{E_{+i}}^{n+1}, \mathbf{U}_{E_{i}}^{n+1}, \mathbf{Q}_{i}^{n}, \Lambda_{i}^{n}, \mathbf{F}_{R_{i}}^{n}, \mathbf{U}_{R_{i}}^{n}\right)$$

$$\geq g\left(\mathbf{F}_{E_{-i}}^{n+1}, \mathbf{F}_{E_{+i}}^{n}, \mathbf{U}_{E_{i}}^{n}, \mathbf{Q}_{i}^{n}, \Lambda_{i}^{n}, \mathbf{F}_{R_{i}}^{n}, \mathbf{U}_{R_{i}}^{n}\right)$$

$$\geq g\left(\mathbf{F}_{E_{-i}}^{n}, \mathbf{F}_{E_{+i}}^{n}, \mathbf{U}_{E_{i}}^{n}, \mathbf{Q}_{i}^{n}, \Lambda_{i}^{n}, \mathbf{F}_{R_{i}}^{n}, \mathbf{U}_{R_{i}}^{n}\right) = g_{i}^{n}, \quad (66)$$

which indicates that the objective function of  $\mathcal{P}_4$  is non-decreasing during the optimization process. The utility function of each TX-RX pair incorporates the penalty for interference caused to other transmitters, and the constraint (37e)s limits the interference price. Therefore, when optimizing  $g_i$ at TX<sub>*i*</sub>-RX<sub>*i*</sub> pair, it can ensure that the utility function,  $g_{i+1}$ , of the TX<sub>*i*+1</sub>-RX<sub>*i*+1</sub> pair does not decline. Due to power constraints, the utility function of each TX-RX pair is upper-bounded to ensure convergence of Algorithm 1.

2) Optimality Analysis: The KKT optimality conditions of the problem  $\mathcal{P}_1$  (22) can be expressed as

$$\frac{\partial C_{\mathbf{S}_i}}{\partial \mathbf{Q}_i^{\star}} + \sum_{i \neq i} \frac{\partial C_{\mathbf{S}_j}}{\partial \mathbf{Q}_i^{\star}} + \lambda_{\mathbf{Q}_i} = 2\,\lambda_i \mathbf{Q}_i^{\star},\tag{67}$$

$$\frac{\partial C_{\mathbf{S}_i}}{\partial \Lambda_i^\star} + \sum_{j \neq i} \frac{\partial C_{\mathbf{S}_j}}{\partial \Lambda_i^\star} + \lambda_{\Lambda_i} = 2\,\lambda_i \Lambda_i^\star,\tag{68}$$

where  $\lambda_i, \lambda_{\mathbf{Q}_i}, \lambda_{\Lambda_i} \geq 0$  are Lagrange multipliers of (22b),  $\mathbf{Q}_i \geq \mathbf{0}$  and  $\Lambda_i \geq \mathbf{0}$ . On the other hand, fixing  $\Pi_j$  for  $j \neq i$ , the KKT optimality conditions of the problem  $\mathcal{P}_2$  (25) can be formulated as

$$\frac{\partial C_{\mathbf{S}_i}}{\partial \mathbf{Q}_i^{\star}} - 2\sum_{j \neq i} \mathbf{H}_{i,j}^{\mathrm{H}} \Pi_j \mathbf{H}_{i,j} \mathbf{Q}_i^{\star} + \lambda_{\mathbf{Q}_i} = 2\lambda_i \mathbf{Q}_i^{\star}, \quad (69)$$

$$\frac{\partial C_{\mathbf{S}_i}}{\partial \Lambda_i^{\star}} - 2 \sum_{j \neq i} \mathbf{H}_{i,j}^{\mathrm{H}} \Pi_j \mathbf{H}_{i,j} \Lambda_i^{\star} + \lambda_{\Lambda_i} = 2\lambda_i \Lambda_i^{\star}.$$
(70)

Substituting  $\Pi_j$  (24) into (69) and (70), it can be seen that the KKT conditions (67) and (68) of the problem  $\mathcal{P}_1$  is the same as the KKT conditions (69) and (70) of the problem  $\mathcal{P}_2$ . This means that a local optimum of the problem (22) is also a local optimum of the problem (25). Furthermore, employing the MMSE receiver, the problem  $\mathcal{P}_2$  is equivalent to the problem  $\mathcal{P}_3$  (37), as proved in [4]. Additionally, the proposed algorithm for solving the problem  $\mathcal{P}_4$  (65) converges to at least a local optimum solution of the problem  $\mathcal{P}_3$ , as shown in [20]. Thus, the proposed algorithm for the problem  $\mathcal{P}_4$  converges to a local optimum of the problem  $\mathcal{P}_1$ .

### C. Complexity Analysis

The computational complexity of Algorithm 1 is mainly from solving the problem  $\mathcal{P}_4$ , which can be solved using the interior-point method [33] implemented by the CVX toolbox usable in Matlab with a computational complexity of  $\mathcal{O}(\log(\frac{1}{\epsilon})n_{\text{tot}}^{3.5})$ , where  $n_{\text{tot}}$  is the total number of real-valued optimization variables and  $\epsilon$  is a given solution accuracy. Thus, by adding up the total number of optimization variables in the proposed optimization, the computational complexity of Algorithm 1 is expressed as

$$C_{\text{pro}-\text{ADP}} = \mathcal{O}\left(K \log\left(\frac{1}{\epsilon}\right) \left(N_{\text{T}}(d_{\text{s}} + d_{a}) + d_{\text{s}}(N_{\text{R}} + d_{\text{s}}) + 3N_{\text{E}}^{2} + 6 + 2K - 2\right)^{3.5}\right).$$
(71)

The computational complexity of MT-MSE scheme [5] is mainly from matrices multiplication, matrices inversion and solving polynomial equation of high degree. It is expressed as  $C_{MT-MSE}$ , where the complexity  $c_{MT-MSE1}$ ,  $c_{MT-MSE1}$ , and  $c_{MT-MSE3}$  arises from matrix multiplication and matrix inversion while solving the high-degree polynomial equation results in the complexity  $c_{MT-MSE4}$ . *I* denotes the total number of iteration steps, while *h* represents the number of steps required to solve the polynomial equation in each iteration step. In comparison, when  $18 < N_T, N_R < 38$ while keeping other parameters fixed, we can obtain that  $C_{MT-MSE} > C_{pro-ADP}$ . However, it shows disadvantage when  $N_E$  grows.

$$c_{MT-MSE1} = K N_R N_T d_s + N_R^3 \tag{72}$$

$$c_{MT-MSE2} = K N_E N_T d_s + N_E^3 \tag{73}$$

$$c_{MT-MSE3} = K N_T N_R d_s + N_T^3 \tag{74}$$

$$c_{MT-MSE4} = h(2N_T)^2 \log(2N_T) \log\log(2N_T)$$
(75)

$$C_{MT-MSE} = \mathcal{O}\left(IK\left(c_1 + c_2 + c_3 + c_4\right)\right)$$
(76)

Similarly, the computational complexity of AN-IA scheme [6] is expressed as  $C_{AN-IA}$ . When  $N_T$ ,  $N_R < 20$ , we can obtain  $C_{AN-IA} > C_{pro-ADP}$ . Besides, there are constraints on the number of transmitter, receiver, and eavesdropper antennas in the AN-IA scheme [6, Eq. (9)]. Otherwise, a closed-form solution does not exist.

$$c_{AN-IA1} = d_s N_T N_R + d_s^2 (77)$$

$$c_{AN-IA2} = K \left( N_T N_R d_a + N_T d_a \right) \tag{78}$$

$$c_{AN-IA3} = K \left( N_T N_R d_s + N_T d_s \right) \tag{79}$$

$$c_{AN-IA4} = I\left(d_s N_R^3 + d_a N_E^3\right) \tag{80}$$

$$c_{AN-IA5} = IK \left( N_R N_T d_s + N_E N_T d_s \right) \tag{81}$$

$$C_{AN-IA} = \mathcal{O}(c_{AN-IA1} + c_{AN-IA2} + c_{AN-IA3} + c_{AN-IA4} + c_{AN-IA5})$$
(82)

#### **IV. SIMULATIONS AND DISCUSSIONS**

We consider a multiuser MIMO-IC network with K = 3. Unless otherwise specifically stated, the default parameters of the simulated network are  $N_{\rm T} = N_{\rm R} = 4$ ,  $d_{\rm s} = 2$ ,  $d_a = 1$ ,<sup>3</sup>  $N_{\rm E} = 8$ ,  $d_{\rm M} = d_{\rm I} = 10$  m,  $d_{\rm E} = 10$  m,  $P_{\rm tot} =$ 20 dB, and the noise variances  $\sigma_{\rm R_i}^2 = \sigma_{\rm E_i}^2 = -110$  dB [23]. For convenience, we denote  $\zeta_{\rm E_i} = \zeta_{\rm R_{i,j}} = \zeta$ . Numerical simulations are carried out to evaluate the performance of our proposed AN-aided secrecy precoding design. Specifically, the convergence of the proposed algorithm is first verified through simulations. Next, we compare our proposed algorithm with the MT-MSE algorithm [5] and the AN-IA algorithm [6] in the simulations under both perfect and imperfect CSI conditions. Then, the impact of the imperfect CSI and eavesdropper on the achievable average secrecy rate of the proposed algorithm is further investigated to evaluate the robustness of our scheme.

#### A. Convergence Performance of Proposed Algorithm

Fig. 3 investigates the convergence performance of Algorithm 1 by showing the average secrecy rate versus the number of iterations under different transmitted power and CSI error bounds  $\zeta$ , where  $\zeta$  is the squared root of the variance

<sup>3</sup>Usually, one stream of IA is enough to degrade Eve [6]. Thus we set  $d_a = 1$ .

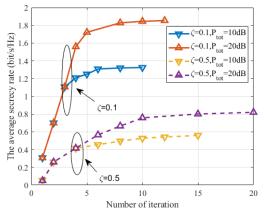


Fig. 3. Average secrecy rate versus number of iterations for Algorithm 1 under different transmitted power  $P_{\text{tot}}$  and CSI error bounds  $\zeta$ , where K = 3,  $N_{\text{T}} = N_{\text{R}} = 4$  and  $N_{\text{E}} = 8$ .

 $\zeta^2$  of the channel uncertainty defined in (13). It can be seen from Fig. 3 that Algorithm 1 achieves the fast convergence for all the four cases. As expected, the convergence speed and the attainable average secrecy rate depend on the CSI error bound  $\zeta$ , and the total available transmit power  $P_{\text{tot}}$ .

Specifically, under the same  $P_{tot}$ , the smaller the CSI error bound, the faster the convergence, and the higher the achievable average secrecy rate. Observe that the influence of  $\zeta$  on the convergence speed and the attainable average secrecy rate is particularly significant. In particular, Algorithm 1 converges within 10 iterations for  $\zeta = 0.1$ , and it converges within 15 iterations for  $\zeta = 0.5$ . Furthermore, given  $P_{\text{tot}} = 10 \,\text{dB}$ , the achievable average secrecy rates are 1.35 [bit/s/Hz] and 0.55 [bit/s/Hz] under  $\zeta = 0.1$  and  $\zeta = 0.5$ , respectively, while given  $P_{\text{tot}} = 20 \,\text{dB}$ , the achievable average secrecy rates are 1.85 [bit/s/Hz] and 0.82 [bit/s/Hz] under  $\zeta = 0.1$  and  $\zeta = 0.5$ , respectively. From both engineering and mathematical perspectives, when the CSI error bound is reduced, the feasible solution set of  $\mathcal{P}_4$  is reduced, and Algorithm 1 can allocate an optimal solution quicker, that is, the artificial noise can be more promptly and accurately aligned with the direction of the eavesdropper and secure precoding can also quickly find the optimal transmission direction. Increasing the CSI error bound has the opposite effect.

Similarly, the total available transmit power  $P_{tot}$  has some influence on the convergence speed. This is because as  $P_{tot}$ is reduced, the search space for the power constraints (37f) is shrunk, and hence the number of iterations required to achieve the optimal result decreases. Fig. 3 also shows that increased available transmit power improves the average secrecy rate. Although an increase in the transmitted power will simultaneously increase both the IUI and the strength of the confidential message signal at the legitimate receiver, as a benefit of the secure precoding based on interference management, the enhancement of the confidential message signal at the legitimate receiver will be more substantial than that of the IUI. Therefore, increasing the transmit power will improve the average secrecy rate.

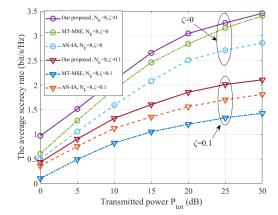


Fig. 4. Average secrecy rate versus available transmit power  $P_{\text{tot}}$  comparison of the proposed AN aided secrecy precoding scheme, MT-MSE scheme [5] and AN-IA scheme [6], where K = 3,  $N_T = N_R = 4$  and  $d_E = 10$  m.

scheme [6] is shown in Fig. 4 under both the perfect CSI case of  $\zeta = 0$  and the imperfect CSI case of  $\zeta = 0.1$ , respectively, where Eve is equipped with  $N_{\rm E} = 8$  antennas. The AN-IA scheme [6] imposes stringent constraints on the number of antennas at the transmitter, receiver, and eavesdropper. Failure to meet these constraints results in the scheme's inability to determine a closed solution for variables during the iterative process. Moreover, both the AN-IA and MT-MSE schemes [5] necessitate a central node capable of accessing global channel information and executing iterative calculations, which is often impractical. In contrast, our proposed scheme is adaptable to any number of antennas at transmitters, receivers, and eavesdroppers. Unlike the conventional approach, where the achievable secrecy sum-rate of the system forms the objective function, our distributed scheme calculates the utility function independently for each TX-RX pair. Consequently, it is only essential for the *i*th TX-RX pair to access the CSI distribution  $(\mathbf{H}_{\mathbf{E}_i} \text{ and } \mathbf{H}_{i,i})$  pertinent to it.

In the perfect CSI case with  $\zeta = 0$ , it can be seen that our proposed scheme outperforms the MT-MSE. This is because our scheme employs a direct and effective criterion that maximizes the utility function defined as the secrecy rate minus the interference cost imposed on other receivers,<sup>4</sup> instead of the MT-MSE criterion that minimizes the total MSE of the recovered signals. The MT-MSE criterion only approximates the direct security performance metric, i.e., the sum secrecy rate, thus resulting in some loss. It can also be seen that in the perfect CSI case, the performance gain of our scheme over the MT-MSE decreases with the increase of the transmit power. This is because the loss incurred by using the MT-MSE criterion decreases with the rise of the transmission power, as pointed out in [34]. It can also be seen that for the perfect CSI case, our scheme outperforms the AN-IA scheme considerably. The AN-IA scheme requires that the signal transmitted by each transmitter lies in the null space of the ICs at other non-paired receivers. However, when there is a strong correlation between the main channel and the IC, such constraints significantly reduce the communication rate of the main channel, thus decreasing the security rate.

#### B. Performance Comparison With Benchmarks

The comparison of the average secrecy rate of our scheme <sup>4</sup>This is fully equivalent to maximizing the sum secrecy rate, as proven in with those of the MT-MSE scheme [5] and the AN-IA <sup>5</sup>Subsection III-B. <sup>4</sup>Subsection III-B. <sup>4</sup>Subsection III-B.

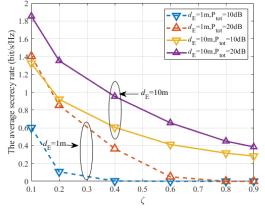


Fig. 5. Average secrecy rate versus CSI error bound  $\zeta$  under two different positions of Eve, where K = 3,  $N_{\rm T} = N_{\rm R} = 4$  and  $N_{\rm E} = 8$ .

In the imperfect CSI case with  $\zeta = 0.1$ , it is evident that our proposed scheme also outperforms both the MT-MSE and AN-IA. In particular, our scheme significantly outperforms the AN-IA scheme. Furthermore, unlike the perfect CSI case, the performance gain of our scheme over the MT-MS increases with the transmit power. This is indeed expected. The secrecy precoding in the MT-MSE scheme heavily relies on the perfect CSI of IC and EC to impose the IUI on Eve, not on the other legitimate receiver. Thus, with higher transmit power, the growth of the secrecy rate in the MT-MSE is more limited due to more leakage of IUI being injected into the legitimate receiver. By contrast, our method considers the CSI error bound of IC and EC, and enhancing the confidential message signal at the legitimate receiver is more substantial than that of the IUI with higher transmit power.

# C. Impact of Imperfect CSI and Eavesdropper's Capability

We investigate the impact of imperfect CSI and eavesdropper's capability on our proposed scheme's achievable average secrecy rate.

First, Fig. 5 depicts our proposed scheme's achievable average secrecy rate as the function of the CSI error bound  $\zeta$  under two different positions of Eve. As expected, the uncertainty in the main channel and ICs  $\mathbf{H}_{i,j}$  as well as the EC  $H_{E_i}$  has a significant impact on the achievable average secrecy rate. The average secrecy rate decreases significantly as the CSI error bound  $\zeta$  increases. This is because a larger CSI error bound has a bigger negative impact on the secrecy precoding and the AN, which causes an increase in the IUI and leakage of confidential messages. As a result, the secrecy rate of each transmitter decreases. Furthermore, the distance between Eve and its targeted transmitter  $d_{\rm E}$  greatly impacts the achievable average secrecy rate. Specifically, reducing  $d_{\rm E}$  from 10 m to 1 m causes a significant drop in the average secrecy rate. This is because a smaller  $d_E$  than  $d_M$  makes the CSI of the EC better than that of the legitimate transceiver channel. Observe that in the case of  $d_{\rm E} = 1 \,\mathrm{m}$  with  $P_{\rm tot} = 10 \,\mathrm{dB}$ , the average secrecy rate is practically zero for  $\zeta > 0.4$ .

Not surprisingly, Eve's capability significantly impacts the achievable average secrecy rate. The ability of Eve depends on its number of antennas  $N_{\rm E}$  and its distance to the targeted transmitter  $d_{\rm E}$ . Fig. 6 portrays the impact of the eavesdropper's

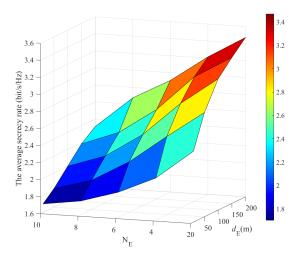


Fig. 6. Average secrecy rate versus Eve's number of antennas  $N_{\rm E}$  and distance  $d_{\rm E}$ , where K = 3,  $\zeta = 0.1$ ,  $d_{\rm E} = (10, 50, 100, 150, 200)$  and  $N_{\rm T} = N_{\rm R} = 4$ .

capability on the average secrecy rate of the proposed scheme. Specifically, when the number of Eve's antennas  $N_{\rm E}$  increases, Eve can process signals from more spatial dimensions. Hence, its eavesdropping capability increases, and the average secrecy rate decreases. As the distance  $d_{\rm E}$  between the transmitter and Eve decreases, Eve's channel gain increases. Hence, Eve's capability becomes stronger, and the security rate decreases. In addition, it can be seen from Fig. 6 that when  $d_{\rm E}$  is very large, the influence of the number of Eve's antennas on the average secrecy rate decreases. This is because as  $d_{\rm E}$  becomes large, the signal strength at the eavesdropper is reduced. Even if Eve can glean signals from more spatial dimensions, the total signal strength remains relatively low, and Eve's eavesdropping performance improvement remains relatively insignificant. Hence, the resultant reduction of the security rate remains relatively modest.

## V. CONCLUSION

A distributed robust AN-aided secrecy precoding scheme has been proposed to secure communications over the wiretap MIMO-IC. Our scheme maximizes the sum secrecy rate by maximizing each user's utility while considering its interference cost imposed on the other users. Based on the ADP, WMMSE, Schur complement, and sign-definiteness techniques, the original non-convex optimization problem is transformed into a tractable approximate SDP problem subject to LMI constraints. This approximation problem is solved by the alternating convex search method. Our simulation study has demonstrated the efficiency of the proposed scheme. Specifically, the simulation results have confirmed the fast convergence of our proposed scheme. The results also show the superior performance of our scheme over the two well-known distributed secrecy precoding schemes for secure communications over the wiretap MIMO-IC.

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